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# Processing of Fearful Faces Exhibits Characteristics of Subcortical Functions

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A subcortical pathway is thought to have evolved to facilitate fear information transmission, but direct evidence for its existence in humans is lacking. In recent years, rapid, preattentive, and preconscious fear processing has been demonstrated, providing indirect support for the existence of the subcortical pathway by challenging the necessity of canonical cortical pathways in fear processing. However, direct support also requires evidence for the involvement of subcortical regions in fear processing. To address this issue, here we investigate whether fear processing reflects the characteristics of the subcortical structures in the hypothesized subcortical pathway. Using a monocular/dichoptic paradigm, Experiment 1 demonstrated a same-eye advantage for fearful but not neutral face processing, suggesting that fear processing relied on monocular neurons existing mainly in the subcortex. Experiments 2 and 3 further showed insensitivity to short-wavelength stimuli and a nasal–temporal hemifield asymmetry in fear processing, both of which were functional characteristics of the superior colliculus, a key hub of the subcortical pathway. Furthermore, all three experiments revealed a low spatial frequency selectivity of fear processing, consistent with magnocellular input via subcortical neurons. These results suggest a selective involvement of subcortical structures in fear processing, which, together with the indirect evidence for automatic fear processing, provides a more complete picture of the existence of a subcortical pathway for fear processing in humans.

#### Public Significance Statement

This study addresses the longstanding neuroscience question regarding the subcortical pathway hypothesis for fear processing by revealing reflections of subcortical functional characteristics in fear processing with cognitive behavioral methods. In three experiments, we demonstrated four properties, including low spatial frequency selectivity, same-eye advantage, S-cone insensitivity, and nasal–temporal asymmetry, that are unique to the subcortical structures, especially the superior colliculus, within the hypothesized subcortical pathway. Rigorous control conditions precluded contributions from fear-irrelevant factors. The identification of these subcortical features in fear processing strengthens the argument in favor of fear processing through the subcortical pathway.

Keywords: subcortical pathway, fear processing, low spatial frequency, monocular neurons, nasal–temporal asymmetry

A subcortical pathway has been suggested to underlie the transmission of threat-related visual information in the human brain. This pathway, which transmits threat information to the amygdala via the

superior colliculus (SC) and pulvinar, bypasses the presumably slower, resource-dependent cortical pathway conventionally recruited for visual information processing and is thus suggested to be able to

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achieve rapid and automatic processing of threat information [\(LeDoux,](#page-12-0) [1996](#page-12-0); [Öhman & Mineka, 2001](#page-12-0); [Pessoa & Adolphs, 2010](#page-12-0)). However, due to the deep location and rapid response of the subcortical structures, which pose methodological challenges for functional magnetic resonance imaging, magnetoencephalography, and electroencephalogram (EEG) techniques, evidence for such a subcortical pathway to the amygdala in humans has mainly been inferred indirectly from neuroimaging and behavioral findings. For instance, compared to neutral information, threat information, such as fearful faces, snakes, and electric shock signals, can be detected rapidly [\(Bannerman et al.,](#page-11-0) [2010;](#page-11-0) [Méndez-Bértolo et al., 2016;](#page-12-0) [Wang et al., 2023\)](#page-12-0), preattentively ([Luo et al., 2010](#page-12-0); [Morris et al., 1999](#page-12-0); [Vuilleumier et al., 2001\)](#page-12-0), and preconsciously ([Gomes et al., 2018;](#page-11-0) [Morris et al., 1998](#page-12-0), [1999;](#page-12-0) [Whalen et al., 1998](#page-13-0)), suggesting its independence from the cortical pathways. However, an alternative to the subcortical pathway model suggests that some shortcut cortical pathways could achieve such threat processing equally well [\(Pessoa & Adolphs, 2010\)](#page-12-0), casting further doubt on the existence of the subcortical pathway.

To validate the subcortical pathway hypothesis, indirect evidence has been gathered from an array of different studies using different approaches. To date, support for the subcortical pathway has come from three main sources. First, neuroimaging studies have shown enhanced responses to fear information in the amygdala in the absence of conscious awareness, either due to unconscious manipulations of backward masking [\(Morris et al., 1998](#page-12-0); [Wang et al., 2023;](#page-12-0) [Whalen et al., 1998](#page-13-0); [L. M. Williams et al., 2006\)](#page-13-0) or interocular suppression ([Pasley et al., 2004;](#page-12-0) [M. A. Williams et al., 2004\)](#page-13-0) or due to visual cortex lesions in blindsight patients ([Morris et al., 1999;](#page-12-0) [Vuilleumier et al., 2002\)](#page-12-0). Unconscious processing reduces the involvement of cortical brain regions, indirectly hinting at information transmission through subcortical pathways. Second, the fearselective response in the amygdala, as evidenced by intracranial EEG recording ([Inagaki et al., 2023](#page-11-0); [Méndez-Bértolo et al., 2016;](#page-12-0) [Sato et al., 2011;](#page-12-0) [Wang et al., 2023\)](#page-12-0) and magnetoencephalography modeling ([McFadyen et al., 2017\)](#page-12-0), was elicited with a very short latency, not long enough for the information to reach the amygdala via the canonical cortical pathway. Third, anatomical and functional connectivity between the critical nodes in the suggested subcortical pathway [\(Pessoa & Adolphs, 2010\)](#page-12-0), the SC, pulvinar, and amygdala, has been elucidated in the human brain [\(Garvert et al., 2014;](#page-11-0) [McFadyen et al., 2017,](#page-12-0) [2019](#page-12-0); [Morris et al., 1999;](#page-12-0) [L. M. Williams](#page-13-0) [et al., 2006\)](#page-13-0), providing a structural basis for subcortical information transmission. Therefore, the first two lines of evidence undermine the possibility of information transmission via the canonical cortical pathway, while the third line of evidence supports the possibility of the existence of the subcortical pathway. However, prone to its own methodological and interpretive limitations, each piece of the above evidence is susceptible to alternative explanations on its own ([Pessoa](#page-12-0) [& Adolphs, 2010\)](#page-12-0). Furthermore, none of the above evidence demonstrates the involvement of the SC or pulvinar in threat processing, leaving a missing link in the evidence supporting the subcortical pathway hypothesis.

If threat information is transmitted via a subcortical pathway, then its perception should reflect the characteristics of the subcortical structures in the pathway, regardless of whether these characteristics are related to emotion processing or not. Following this logic, researchers have investigated the low spatial frequency (LSF) selectivity of threat processing. Since the subcortical pathway consists primarily of magnocellular neurons tuned to LSF information,

the threat information transmitted through this pathway should be limited to its LSF component ([Vuilleumier et al., 2003\)](#page-12-0). This is indeed what has been observed in fear processing in the human amygdala ([Méndez-Bértolo et al., 2016](#page-12-0); [Vuilleumier et al., 2003;](#page-12-0) [Wang et al., 2023](#page-12-0)), although incompatible and opposing evidence has also been reported (e.g., [McFadyen et al., 2017;](#page-12-0) [Stein et al.,](#page-12-0) [2014](#page-12-0)). In addition to LSF selectivity, subcortical regions differ largely from cortical visual regions in other characteristics. A key feature of the subcortex is its reliance on monocular neurons. Visual input is monocularly segregated through the subcortex up to Layer IV of the primary visual cortex (V1; [Horton et al., 1990;](#page-11-0) [Menon et al., 1997](#page-12-0)); beyond Layer IV of V1, signals from each eye are no longer segregated. Therefore, visual information presented sequentially to the same, as opposed to separate, eyes can be processed by the same set of subcortical neurons [\(Gabay, Burlingham,](#page-11-0) [& Behrmann, 2014](#page-11-0); [Gabay, Nestor, et al., 2014](#page-11-0); [Zhao et al., 2023\)](#page-13-0).

In addition, the SC has distinct characteristics from other subcortical regions, including the lateral geniculate nucleus (LGN), a subcortical node in the cortical pathway. First, the SC lacks retinal input from the short-wavelength-sensitive (S-) cones, which instead project to the LGN and the pulvinar via the koniocellular pathway ([Marrocco](#page-12-0) [& Li, 1977;](#page-12-0) [Schiller & Malpeli, 1977\)](#page-12-0). Thus, the SC has lower sensitivity to short-wavelength stimuli such as purple/blue gratings than the LGN and the pulvinar [\(Bertini et al., 2008](#page-11-0); [Marzi et al., 2009;](#page-12-0) [Savazzi](#page-12-0) [& Marzi, 2004](#page-12-0); [Sumner et al., 2002](#page-12-0); [Tamietto et al., 2009\)](#page-12-0). Second, building on the findings of a nasal–temporal asymmetry in fiber projections from the hemiretinae to the SC ([Perry & Cowey, 1985;](#page-12-0) [Pollack &](#page-12-0) [Hickey, 1979\)](#page-12-0), higher visual sensitivity is expected for the temporal than for the nasal hemifield ([Bertini et al., 2008;](#page-11-0) [Dodds et al., 2002;](#page-11-0) [Simion et al., 1998](#page-12-0); [Sylvester et al., 2007](#page-12-0); [Tomalski & Johnson,](#page-12-0) [2012](#page-12-0)). This nasal–temporal asymmetry was not observed in the LGN or in cortical visual areas [\(Sylvester et al., 2007](#page-12-0)). While the characteristics above are not directly relevant to threat perception, their existence in threat perception would suggest the involvement of subcortical regions, particularly the SC, in threat processing.

### The Present Experiments

The present study aimed to fill the missing link in the existing indirect evidence for the subcortical pathway in emotion processing by providing evidence for subcortical involvement in threat perception. Following the logic that if threat information is conveyed via the subcortical pathway, then the functional limitations in subcortical regions along the pathway should be reflected in threat perception, we conducted three experiments, each examining at least one characteristic of the subcortical structures in the subcortical pathway. In Experiment 1, we investigated the same-eye advantage of fearful face processing by presenting a priming face to the same or different eye as the probe face, so that the two faces could be processed by monocular neurons in the subcortical pathway only in the same-eye condition. Spatial frequency information was manipulated to investigate the LSF selectivity of the same-eye advantage. In Experiment 2, we replaced the priming face with an LSF grating defined by achromatic (gray) or chromatic short-wavelength information (purple/ blue) to examine the insensitivity of threat processing to S-cones ([Tamietto et al., 2009](#page-12-0)). In Experiment 3, we investigated the nasal and temporal hemifield sensitivity to fearful faces as reflected in the oculomotor response. Our results showed that all four of the subcortical characteristics were reflected in fearful face processing, convergently hinting that subcortical regions are involved in threat processing. These results, together with the existing evidence for rapid, preconscious fear processing in the amygdala and the structural basis for the subcortical pathway, suggest the existence of a subcortical pathway to the human amygdala for threat processing.

# Experiment 1: Same-Eye Advantage for LSF Fear Perception

Experiment 1 aimed to explore whether the processing of fearful faces relies on subcortical structures. Using a monocular/dichoptic paradigm ([Gabay, Burlingham, & Behrmann, 2014](#page-11-0); [Gabay, Nestor,](#page-11-0) [et al., 2014\)](#page-11-0), we presented two consecutive faces, a priming face and a probe face, monocularly to the same eye or dichoptically to different eyes. Because the subcortex contains only monocular neurons, it should be invisible to priming faces presented to the different eye. Therefore, if fear processing takes place in the subcortex, the priming effect in the subcortex should be observed in the same-eye condition rather than in the different-eye condition. That is, a same-eye facilitation effect is expected for fear processing. Furthermore, because the subcortical pathway is thought to carry only crude visual input to the amygdala through magnocellular neurons ([Vuilleumier et al., 2003](#page-12-0)), the same-eye facilitation should be selective to the LSF component.

# Method

# Transparency and Openness

All data of all experiments are publicly available via Open Science Framework and are accessible at <https://osf.io/dnx5m/>. The experiments reported here were not preregistered and the data were collected in 2022–2023.

### **Participants**

Participants were 30 adults (21 participants reported their gender as female and nine as male, 19–27 years,  $M_{\text{age}} = 22.1$  years,  $SD = 5.6$ ) recruited from Zhejiang University. The sample size was similar to conventional sample sizes in prior experiments using the same paradigm [\(Collins et al., 2017;](#page-11-0) [Gabay, Burlingham, & Behrmann, 2014;](#page-11-0) [Zhao et al., 2023](#page-13-0)). All participants had normal or corrected-to-normal vision and were naive to the purpose of the experiments. They provided written informed consent and received monetary compensation for participation. The experimental procedure was approved by the Human Subject Review Committee of Zhejiang University.

### Apparatus and Software

The stimuli were presented on a 21-in. cathode-ray tube screen with a refresh rate of 75 Hz and a resolution of  $1,024 \times 768$  pixels. Participants viewed the screen with both eyes through a stereoscope so that the left and right halves of the screen were projected to the left and right eyes, respectively. A cardboard divider was attached to the chin rest to block the participants' direct view of the screen. A white rectangular frame ( $5^\circ \times 6.3^\circ$ ) was presented at the center of each half of the screen to minimize eye movements. Participants adjusted the stereoscope to fuse the perception of two eyes before starting the experiment. The MATLAB (The MathWorks) and Psychtoolbox-3 toolbox were used to display the visual stimuli.

### **Materials**

Stimuli were faces of 48 actors (24 females) posing fearful and neutral expressions. The faces were obtained from two databases: Radboud Faces Database [\(https://www.socsci.ru.nl:8180/RaFD2/](https://www.socsci.ru.nl:8180/RaFD2/RaFD) [RaFD](https://www.socsci.ru.nl:8180/RaFD2/RaFD)) and NimStim Set of Facial Expressions [\(https://danlab](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions) [.psychology.columbia.edu/content/nimstim-set-facial-expressions](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions)). Images were processed following the procedure by [McFadyen et al.](#page-12-0) [\(2017\).](#page-12-0) All images were gray-scaled, equalized in mean luminance, reshaped into the same size ( $5^{\circ} \times 6.3^{\circ}$ ), and cropped to exclude most hair and background. In addition to the original (broad spatial frequency [BSF]) face images, faces containing low- or high-spatial-frequency information were created by filtering the BSF faces using a low-pass cutoff of  $\leq$ 6 cycles per image (LSF) and a high-pass cutoff of  $>$ 24 cycles per image (high spatial frequency [HSF]; [Wang et al., 2023](#page-12-0)), respectively. Images were drawn randomly from the stimuli set for each condition for each participant.

### Procedure

Each trial started with the appearance of a white fixation cross at the center of the rectangular frame in each visual field for 500 ms. Two faces, one priming and one probe face, were then presented sequentially on the screen, each for 200 ms and interleaved by a 500-ms fixation interval [\(Figure 1](#page-3-0)). The two consecutive faces were presented to the same eye in the same-eye condition and to different eyes in the different-eye condition. In both conditions, the priming face was presented to the left and right eyes with equal possibility. Participants judged whether the probe face showed the same facial expression as the priming face or not. The response was required to be made within 3,000 ms, as in related studies ([Méndez-Bértolo et al., 2016](#page-12-0); [Wang et al., 2023;](#page-12-0) [Xu et al., 2023\)](#page-13-0).

Participants completed a total of 720 trials in nine blocks (80 trials/block). The priming and probe faces showed the same emotion in half of the trials. The order of trials from different emotion conditions and eye source conditions was randomized within each block. Faces containing different spatial frequency information were presented in separate blocks. Perceptual fusion from the two eyes was checked at the beginning of each block.

# Results

We explored whether the monocular versus dichoptic presentation of consecutive faces induced different priming effects. We extracted trials in which the priming and probe faces expressed the same emotion and calculated the percentage of correct responses. Considering that evidence for the subcortical processing of neutral faces has also been observed ([Garvert et al., 2014;](#page-11-0) [Santos](#page-12-0) [et al., 2011](#page-12-0)), we do not have a strong hypothesis about the same-eye advantage effect in the neutral face condition. Therefore, analyses on the fearful and neutral faces were performed separately. First, we performed a 3 (spatial frequency [SF]: BSF, LSF, and HSF)  $\times$  2 (eye source: same and different) repeated measures analysis of variance (ANOVA) on the discrimination accuracy of the fearful faces. A significant interaction effect between the two factors was observed ([Figure 2](#page-4-0)),  $F(2, 58) = 3.21$ ,  $p = .048$ ,  $\eta_p^2 = .10$ . Simple effect analyses focusing on the priming effect showed that the emotion discrimination in the same-eye condition was superior to that in the different-eye condition for the LSF fearful face condition,  $M<sub>difference</sub> = 4.33, 95% confidence interval (CI) [2.10, 6.58],$ 

### <span id="page-3-0"></span>Figure 1

A Schematic Depiction of the Experimental Procedure in Experiment 1 and Visual Pathways From the Eyes to the Brain



Note. (Top) Two faces were presented sequentially either dichoptically to different eyes or monocularly to the same eye (not shown) through a stereoscope. Participants judged whether the probe face expressed the same emotion as the priming face. The monocular and dichoptic presentations generated the same perception in participants. (Bottom) The visual information from two eyes (left eye, dashed lines; right eye, solid lines) passes through monocularly segregated subcortical regions, including the SC, pulvinar, and lateral geniculate nucleus, before reaching the striate and extrastriate cortical regions. From the NimStim Set of Facial Expressions [\(https://](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions) [danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions\)](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions).  $SC = superior$  colliculus; ms = milliseconds. See the online article for the color version of this figure.

 $t(29) = 3.95, p < .001$ , Cohen's  $d = 0.72$ . No significant same-eye advantage was observed for the BSF,  $M_{\text{difference}} = 0$ , 95% CI  $[-2.72, 2.72], t(29) = 0, p = 1.000, Cohen's d = 0, or HSF,$  $M_{\text{difference}} = 0.44, 95\% \text{ CI } [-2.34, 3.23], t(29) = 0.33, p = .747,$ Cohen's  $d = 0.06$ , fearful face condition. Next, we performed the same analysis on the neutral face condition. No significant interaction effect between SF (BSF, LSF, and HSF) and eye source (same and different) was observed,  $F(2, 58) = 1.55$ ,  $p = .222$ ,  $n_p^2$  = .05. Besides, the priming effect was not significant for the BSF,  $M_{difference} = 0.67$ , 95% CI [-0.95, 2.28],  $t(29) = 0.84$ ,  $p = .405$ , Cohen's  $d = 0.15$ ; LSF,  $M_{difference} = 0.67$ , 95% CI  $[-2.80, 4.13], t(29) = 0.39, p = .697, \text{ Cohen's } d = 0.07; \text{or}$ HSF,  $M_{\text{difference}} = -2.11,95\% \text{ CI } [-4.61, 3.89], t(29) = -1.73,$  $p = .095$ , Cohen's  $d = 0.32$ , neutral face condition. Therefore, fearful faces containing only LSF information produced a facilitation effect on fear detection of faces presented to the same eye. Because this same-eye advantage was not observed for fearful faces containing HSF information or for neutral faces, the contribution of factors unrelated to LSF fear processing, such as interruptions on perception in the dichoptic presentation condition due to binocular fusion, was excluded.

To rule out speed-accuracy trade-off, we also performed a 3 (SF: BSF, LSF, and HSF)  $\times$  2 (eye source: same and different) repeated measures ANOVA on the response time (RT) in the fearful and neutral face conditions, respectively. No significant SF by eye source interaction effect was observed for RT in the fearful,  $F(2, 58) =$ 1.88,  $p = .162$ ,  $\eta_p^2 = .06$ , or neutral face condition,  $F(2, 58) =$ 0.38,  $p = .685$ ,  $\eta_p^2 = .01$ . The main effect of eye source was not significant, fearful;  $F(1, 29) = 0.31$ ,  $p = .583$ ,  $\eta_p^2 = .01$ ; neutral;  $F(1, 29) = 2.27, p = .143, \eta_p^2 = .07$ , either. Furthermore, no significant same- versus different-eye RT difference was observed for the LSF fearful,  $M_{\text{difference}} = -0.01, 95\% \text{ CI } [-0.04, 0.23], t(29) =$  $-0.63$ ,  $p = .536$ , Cohen's  $d = 0.11$ ; or neutral,  $M_{difference} = -0.05$ , 95% CI [-0.14, 0.04],  $t(29) = -1.05$ ,  $p = .304$ , Cohen's  $d = 0.19$ , face conditions.

# Experiment 2: Insensitivity of Fearful Faces to LSF Priming Mediated by S-Cones

Experiment 2 further investigated the subcortical stage at which the same-eye advantage occurs. We took advantage of the different sensitivities to short-wavelength stimuli of the SC, a critical node in the subcortical pathway, and the LGN, a subcortical node in the cortical pathway. Specifically, the SC has no S-cones and is therefore invisible to short-wavelength stimuli (e.g., purple/blue gratings), whereas the LGN has S-cones and is able to detect shortwavelength stimuli ([Marrocco & Li, 1977](#page-12-0); [Schiller & Malpeli,](#page-12-0) [1977](#page-12-0)). We speculate that if the same-eye advantage is due to fear processing by the SC, then the effect will be abolished when the fearful face is primed with short-wavelength stimuli that are invisible to the SC. Conversely, if the same-eye advantage is due to fear processing by the LGN, then the effect will still be observed when the fearful face is primed with short-wavelength stimuli. To test this hypothesis, we conducted two experiments. Experiment 2a used face stimuli from the Western culture. To confirm the effects and to control for the potential influence of cultural factors, Experiment 2b replicated Experiment 2a using face stimuli from a Chinese face image data set.

# Method

# Power Analysis

A power analysis was performed based on the effect size of the shared component of Experiment 1 and Experiment 2, that is, the same-eye facilitation effect for LSF fearful faces in Experiment 1. The power analysis revealed that 28 participants were required to achieve a significant same-eye facilitation effect ( $\alpha = .05$ ) with a power of 95%.

### **Participants**

Experiment 2a and Experiment 2b each recruited 30 (Experiment 2a: 17 participants reported their gender as female and 13 as male, 18–26 years,  $M_{\text{age}} = 20.5$  years,  $SD = 5.2$ ; Experiment 2b: 21



Note. (A) Same-eye presentation induced a facilitation effect on LSF but not HSF or BSF fear discrimination than different-eye presentation. (B) No same-eye versus different-eye presentation difference was observed for the neutral face independent of the SF information it contained. Error bars represent SEMs across participants.  $LSF = low$  spatial frequency;  $HSF = high$  spatial frequency;  $BSF = broad$  spatial frequency;  $SF = spatial$  frequency; SEM = standard error of the mean. See the online article for the color version of this figure. \*\*\*  $p < .001$  (significant differences between the monocular and dichoptic presentation conditions).

participants reported their gender as female and nine as male, 18– 28 years,  $M_{\text{age}} = 21.4$  years,  $SD = 6.0$ ) adults from Zhejiang University. All participants had normal or corrected-to-normal vision, were not color blind, and were naive to the purpose of the experiments. They provided written informed consent and received monetary compensation for participation. The experimental procedure was approved by the Human Subject Review Committee of Zhejiang University.

# **Materials**

The same apparatus was used as in Experiment 1. In both experiments, LSF face images were used as probes while LSF gratings were used as priming stimuli. The face images in Experiment 2a were the LSF face images from Experiment 1. Sixty LSF face images were drawn randomly from the stimuli set for each condition for each participant, resulting in a total of 480 images being used. To prevent cultural influences on emotion recognition caused by unfamiliarity with and misrecognition of facial expressions from other cultures ([Wang et al., 2019\)](#page-13-0), Experiment 2b used face images from the Chinese Facial Affective Picture System [\(Gong et al., 2011\)](#page-11-0). The LSF face images were created using the same criteria as Experiment 1. In each trial, a face image from a certain condition was randomly selected from the 25 LSF face images for each participant. As the total number of face images was roughly half that used in Experiments 1 and 2a, we reduced the number of trials to 240 in Experiment 2b.

Experiments 2a and 2b used the same priming grating stimuli. The priming grating stimuli had a cutoff of 5 cycles per image. There were two types of grating stimuli: gray (colorimetric values:  $x = 0.30$ ,  $y =$ 0.30) and chromatic purple/blue  $(x = 0.183/0.270, y = 0.087/0.087;$ [Tamietto et al., 2009](#page-12-0)). To ensure that the SF information was determined solely by the color differences in the gratings, the gratings were carefully matched for their physical attributes; that is, all stimuli had the same mean luminance  $(10.8 \text{ cd/m}^2)$  and were of the same size (8°). The gratings were oriented 45° clockwise or counterclockwise relative to the vertical orientationAQ11.

### Procedure

The procedure, which was identical in Experiments 2a and 2b, was similar to that in Experiment 1 except that gray or purple/blue gratings were used as the priming stimuli [\(Figure 3\)](#page-5-0). Specifically, a gray or purple/blue LSF grating was presented for 200 ms to a random eye. After a 500-ms interval, an LSF fearful or neutral face was presented to the same (monocular presentation) or different (dichoptic presentation) eye than the LSF grating for 200 ms. Participants were asked to judge whether the probe face showed a fearful or neutral expression and did not need to respond to the gratings. The response was required to be made within 3,000 ms. Participants completed 480 trials in six blocks (80 trials/block). The order of trials from different conditions was randomized within each block. Perceptual fusion from the two eyes was checked at the beginning of each block.

# **Results**

# Experiment 2a

Given that the monocular advantage was only observed for the LSF fearful faces in Experiment 1, we predicted that the subcortical characteristics would be present in the fearful but not in the neutral condition. Therefore, for Experiment 2a, we performed a 2 (priming condition: gray and purple)  $\times$  2 (eye source: same and different)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA

<span id="page-4-0"></span>Figure 2

<span id="page-5-0"></span>

Note. A gray (left) or purple/blue (right) grating with LSF information was presented to one eye through a stereoscope, followed by an LSF face image presented to the same (left) or different (right) eye. Participants judged the emotion the probe face expressed. From the NimStim Set of Facial Expressions [\(https://danlab.psychology.columbia](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions) [.edu/content/nimstim-set-facial-expressions\)](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions). LSF = low spatial frequency; ms = milliseconds. See the online article for the color version of this figure.

on the emotion recognition accuracy of the probe faces. The three-way interaction effect was significant ([Figure 4A\)](#page-6-0),  $F(1, 29) =$ 12.18,  $p = .002$ ,  $\eta_p^2 = .30$ , suggesting different results patterns in the fearful and neutral face condition. Further analysis showed that the priming condition by eye source interaction effect was significant in the fearful face condition,  $F(1, 29) = 16.13$ ,  $p < .001$ ,  $n_p^2 = .36$ . Simple effect analyses showed a same-eye advantage for LSF fearful faces primed by a gray LSF grating. Specifically, when the gray LSF grating was presented to the same eye, the recognition of LSF fearful faces was increased significantly when compared to the grating being presented to the different eye,  $M_{\text{difference}} = 4.02, 95\% \text{ CI}$  [2.06, 5.98],  $t(29) = 4.20, p < .001,$ Cohen's  $d = 0.77$ . No significant same-eye versus different-eye difference was observed in the purple/blue grating priming condition,  $M_{\text{difference}} = -2.24, 95\% \text{ CI} [-4.81, 0.33], t(29) = -1.78,$  $p = .086$ , Cohen's  $d = 0.33$ . In contrast to the fearful face condition, the priming condition by eye source interaction effect was not significant for the neutral face condition,  $F(1, 29) = 0.14$ ,  $p = .712$ ,  $\eta_p^2 = .01$ . No significant same-eye advantage was observed under either gray,  $M_{difference} = -2.05$ , 95% CI [−4.23, 0.00],  $t(29) = -1.93$ ,  $p = .063$ , Cohen's  $d = 0.35$ , or purple/ blue,  $M_{\text{difference}} = -1.53, 95\% \text{ CI } [-3.59, 0.54], t(29) = -1.51,$  $p = .141$ , Cohen's  $d = 0.28$ , LSF grating priming. Therefore, the same-eye facilitation effect was abolished when S-cones, which exist in the LGN but not in the SC, were stimulated. This result suggests that the SC underlies the same-eye advantage of LSF fear processing.

Figure 3

To rule out the speed-accuracy trade-off, we performed a 2 (priming condition: gray and purple)  $\times$  2 (eye source: same and different)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA on the RT. No significant three-way interaction effect was observed,  $F(1, 29) =$ 1.20,  $p = 0.282$ ,  $\eta_p^2 = 0.04$ , and no significant priming condition by eye source interaction effect was observed for RT in either emotion condition, fearful,  $F(1, 29) = 1.01$ ,  $p = .324$ ,  $\eta_p^2 = .03$ ; neutral,  $F(1, 29) =$ 

0.11,  $p = .740$ ,  $\eta_p^2 = .004$ . The main effect of eye source was not significant, fearful,  $F(1, 29) = 0.11$ ,  $p = .738$ ,  $\eta_p^2 = .003$ ; neutral,  $F(1, 29) =$ 2.01,  $p = .167$ ,  $\eta_p^2 = .06$ , either. Simple effects analyses further showed no significant same- versus different-eye RT difference under the gray, fearful,  $M_{\text{difference}} = -0.01, 95\% \text{ CI} [-0.03, 0.01], t(29) = -0.85,$  $p = .403$ , Cohen's  $d = 0.15$ ; neutral,  $M_{\text{difference}} = -0.01$ , 95% CI [-0.03, 0.01],  $t(29) = -0.93$ ,  $p = .358$ , Cohen's  $d = 0.17$ , or purple/blue, fearful,  $M_{\text{difference}} = 0.003$ , 95% CI [−0.02, 0.02],  $t(29) =$ 0.29,  $p = .774$ , Cohen's  $d = 0.05$ ; neutral,  $M_{difference} = -0.01, 95\%$ CI [-0.03, 0.01],  $t(29) = -1.34$ ,  $p = .191$ , Cohen's  $d = 0.24$ , LSF grating priming.

#### Experiment 2b

The same analyses were performed as in Experiment 2a. First, we performed a 2 (priming condition: gray and purple)  $\times$  2 (eye source: same and different)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA on the emotion recognition accuracy of the probe faces. The three-way interaction effect was not significant ([Figure 4B](#page-6-0)),  $F(1, 29) = 0.32$ ,  $p = .576$ ,  $n_p^2 = .01$ . Nevertheless, we went on to investigate whether the priming effects differed for different eye sources in the fearful and neutral emotion condition, respectively. We performed a 2 ( priming condition: gray and purple)  $\times$  2 (eye source: same and different) on the fearful face condition and found a significant priming condition by eye source interaction effect,  $F(1, 29) = 5.95$ ,  $p = .021$ ,  $n_p^2 = .17$ , suggesting that the same-eye advantage was different in the two priming conditions. Simple effect analyses further showed that, when the gray LSF grating was presented to the same eye, the recognition of LSF fearful faces was increased significantly when compared to the grating being presented to the different eye,  $M_{\text{difference}} = 4.47, 95\%$  CI [1.38, 7.54],  $t(29) = 2.96$ ,  $p = .006$ , Cohen's  $d = 0.54$ . No significant same-eye versus different-eye difference was observed in the purple/blue grating priming condition,  $M_{\text{difference}} = -1.10$ , 95% CI



<span id="page-6-0"></span>Figure 4 Emotion Recognition Accuracy Under Different Priming Conditions

Note. Emotion recognition results of Experiments 2a (A) and 2b (B). In both experiments, gray rather than purple LSF grating induced a facilitation effect on LSF fear recognition when the grating and the face was presented to the same eye than to different eyes. No same-eye versus different-eye facilitation effect was observed for neutral faces independent of whether the priming grating was gray or purple. Error bars represent SEMs across participants. LSF = low spatial frequency;  $n.s.$  = nonsignificant; SEM <sup>=</sup> standard error of the mean. See the online article for the color version of this figure.

\*\*  $p < .01$ . \*\*\*  $p < .001$  (significant differences between the monocular and dichoptic priming conditions).

 $[-4.54, 2.33], t(29) = -0.66, p = .518$ , Cohen's  $d = 0.12$ . In contrast to the fearful face condition, the priming condition by eye source interaction effect was not significant for the neutral face condition,  $F(1, 29) = 2.70$ ,  $p = .111$ ,  $\eta_p^2 = .09$ . No significant same-eye advantage was observed under either gray,  $M_{\text{difference}} = 1.48, 95\%$ CI [-1.85, 4.81],  $t(29) = 0.91$ ,  $p = .371$ , Cohen's  $d = 0.17$ , or purple/blue,  $M_{\text{difference}} = -2.30,95\% \text{ CI}$  [-4.83, 0.24],  $t(29) = -1.85$ ,  $p = .075$ , Cohen's  $d = 0.34$ , LSF grating priming. Therefore, our results consistently show that the same-eye facilitation effect was abolished when S-cones, which exist in the LGN but not in the SC, were stimulated.

Finally, we performed a 2 (priming condition: gray and purple)  $\times$  2 (eye source: same and different)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA on the RT. No significant three-way interaction effect was observed,  $F(1, 29) = 1.72$ ,  $p = .200$ ,  $\eta_{\rm p}^2$  = .06. No significant priming condition by eye source interaction effect was observed for RT in either emotion condition, fearful,  $F(1, 29) = 0.003$ ,  $p = .960$ ,  $\eta_p^2 = .00$ ; neutral,  $F(1, 29) = 2.25$ ,  $p = .145$ ,  $\eta_p^2 = .07$ . However, we still explored the same-eye effects in each priming and emotion condition. Simple effects analyses showed significant same- versus different-eye RT differences under the gray LSF grating priming for both emotions, fearful,  $M_{\text{difference}} = -0.02, 95\% \text{ CI} [-0.04, -0.003], t(29) = -2.44,$  $p = .021$ , Cohen's  $d = 0.44$ ; neutral,  $M_{\text{difference}} = -0.02$ , 95% CI  $[-0.05, -0.001], t(29) = -2.14, p = .041, \text{ Cohen's } d = 0.39,$ and under the purple/blue LSF grating priming for fearful,  $M_{\text{difference}} = -0.02, 95\% \text{ CI} [-0.04, -0.003], t(29) = -2.38,$  $p = .024$ , Cohen's  $d = 0.43$ , but not neutral,  $M<sub>difference</sub> = -0.002$ , 95% CI [−0.03, 0.02],  $t(29) = -0.21$ ,  $p = .837$ , Cohen's  $d =$ 0.04, emotion. Therefore, the same-eye facilitation effect in the gray grating priming condition was unlikely due to speed-accuracy trade-off.

# Experiment 3: Nasal–Temporal Asymmetry for Saccades to LSF Fear

To strengthen the evidence for the involvement of the SC in fear processing, Experiment 3 further explored another characteristic of the SC, namely the nasal–temporal asymmetry of fear processing. Since more fibers are projected to the SC from the nasal hemiretina than from the temporal hemiretina ([Perry & Cowey, 1985;](#page-12-0) [Pollack & Hickey, 1979](#page-12-0)), the SC is expected to be more sensitive to fear information presented to the temporal than to the nasal hemifield. Furthermore, given that the SC is involved in oculomotor behavior [\(Bannerman et al., 2010](#page-11-0); [Cynader & Berman, 1972;](#page-11-0) [Koller & Rafal, 2019\)](#page-11-0), this function is likely to be reflected directly in the saccadic response. Therefore, in Experiment 3, we used eyetracking to investigate the saccadic response to fearful faces that were presented in either the temporal or the nasal hemifield. Two independent experiments were performed to ensure the reliability of the results.

### **Participants**

The same sample size determination and participant recruitment criteria were used as in Experiment 2. Thirty adults from Zhejiang University took part in Experiments 3a (20 participants reported their gender as female and 10 as male, 19–31 years,  $M_{\text{age}} = 23.1$ years,  $SD = 7.3$ ) and 3b (23 participants reported their gender as female and seven as male, 19–29 years,  $M_{\text{age}} = 22.2$  years,  $SD =$ 7.1), respectively.

# Materials

Stimuli in Experiment 3a were LSF and HSF face images ( $10^{\circ} \times$ 12.6°) from Experiment 1. The stimuli in Experiment 3b were LSF and HSF fearful and neutral face images from the Chinese Facial Affective Picture System [\(Gong et al., 2011\)](#page-11-0). Images of 25 identities were obtained for each condition. The same criteria as in Experiment 1 were used to generate the LSF and HSF face images. Images were drawn randomly from the stimuli set for each condition for each participant.

# Eye-Tracking Apparatus

An Eyelink1000 eye-tracking device continuously recorded eye position at a sampling rate of 500 Hz. Eyelink1000 analysis software was used to measure saccade latency and velocity. The same processing criteria were utilized in both experiments. Saccades were detected with a velocity of 30 degrees/second and acceleration of 8,000 degrees/second<sup>2</sup>. Trials with saccades faster than 50 ms were excluded from the analysis.

### Procedure

The experiment comprised two eye-tracking sessions carried out under monocular eye viewing using an eye patch. One session was completed with left eye viewing and the other with right eye viewing. Sessions were counterbalanced to control for order effects. Participants were instructed to place their head and chin on a chin rest and to look straight at the center of the computer screen (Figure 5).

The procedure was identical in Experiments 3a and 3b. A white fixation was constantly presented at the center of the screen. A trial started when the eye position was maintained within 2° from the central fixation for 1,000 ms. After a 200-ms gap, two faces, one neutral and one fearful, were presented on the left and right sides of the central fixation, with an eccentricity of 12°. Depending on which eye was used, the left and right image could correspond to either the temporal or nasal hemifield. The pairs of neutral and fearful faces stayed for 100 ms on the screen in three possible random stimulus onset asynchronies: (a) fearful preceding neutral face onset by 50 ms, (b) neutral preceding fearful face onset by 50 ms, and (c) simultaneous onset. The small interval between the first and last presented faces and the presence of the simultaneous onset trials increased the difficulty of the task and were designed to encourage automatic saccadic responses driven by the stimulus. Participants were unknown about the simultaneous onset condition and were required to make a saccade to the location where the first presented face was shown. Participants completed a total of 600 trials in six blocks. Faces with different spatial frequency information were presented in separate blocks. The order of trials from different conditions was randomized within each block.

# Results

### Experiment 3a

We first calculated the percentage of correct saccade response in each condition. We found that the saccade accuracy was not significantly different from the chance level of 50% in the fearful-first (LSF temporal,  $p = .233$ ; LSF nasal,  $p = .736$ ; HSF temporal,  $p = .290$ ; HSF nasal,  $p = .169$ ) or the neutral-first (LSF temporal,  $p = 1.000$ ; LSF nasal,  $p = .619$ ; HSF temporal,  $p = .736$ ; HSF nasal,  $p = .390$ ) condition. We then performed a 2 (hemifield: temporal and nasal)  $\times$  2 (SF: LSF and HSF)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA on the saccade accuracy. The three-way interaction effect was not significant ([Figure 6A\)](#page-8-0),  $F(1, 29) = 0.70$ ,  $p = .408$ ,  $\eta_p^2 = .02$ . Repeated measures ANOVA did not show a significant interaction effect between SF (LSF and HSF) and hemifield (temporal and nasal) on the saccade accuracy for the fearful-first,  $F(1, 29) = 0.51$ ,  $p = .479$ ,  $\eta_p^2 = .02$ , or neutral-first,  $F(1, 29) =$ 0.67,  $p = .422$ ,  $\eta_p^2 = .02$ , condition, either. Furthermore, no

#### Figure 5

A Schematic Depiction of the Experimental Procedure and Monocular Viewing Setting in Experiments 3a and 3b



Note. (Top) Two faces, one fearful and one neutral, were presented sequentially to one eye (the left eye in the graphic illustration). Participants were asked to make a saccade to the first presented face. (Bottom) Due to the monocular viewing setting, when viewing with the left eye, the face at the left and right from the central fixation was presented to the temporal and nasal hemifield, respectively. From the NimStim Set of Facial Expressions [\(https://danlab.psychology.columbia.edu/content/](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions) [nimstim-set-facial-expressions](https://danlab.psychology.columbia.edu/content/nimstim-set-facial-expressions)).

significant nasal–temporal asymmetry effects ( $p_s > .24$ ) were observed. These results suggest that participants were not explicitly aware of which face was presented first during the experiment, suggesting that the saccadic response was mainly stimulus-driven.

Next, we explored whether the fearful face presented to the temporal hemifield would facilitate the saccade response by reducing the saccade latency. We first performed a 2 (hemifield: temporal and nasal)  $\times$  2 (SF: LSF and HSF)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA on the saccade latency. The three-way interaction effect was not significant ([Figure 6B](#page-8-0)),  $F(1, 29) = 0.001$ ,  $p = .972$ ,  $\eta_p^2 = .00$ . Next, we performed a 2 (SF: LSF and HSF)  $\times$  2 (hemifield: temporal and nasal) repeated measures ANOVA on the saccade latency to the fearful-first condition. The interaction effect



Accuracy and Latency of Saccades to Faces Presented to the Temporal and Nasal Hemifields

*Note.* (A, C) The saccade accuracy of each condition in Experiments 3a (A) and 3b (C). The saccade accuracy of each condition was mostly at the chance level. The saccade accuracy did not differ between the temporal and nasal conditions, showing no nasal-temporal asymmetry effects in either LSF or HSF condition for either fearful or neutral faces (B, D) The saccade latency of each condition in Experiments 3a (B) and 3b (D). The saccade latency to LSF fearful faces presented to the temporal hemifield was significantly shorter than that to the nasal hemifield. The saccade latency to neutral faces was not modulated by the spatial frequency or hemifield of the presented faces. Error bars represent SEMs across participants. LSF = low spatial frequency; HSF = high spatial frequency; SEM = standard error of the mean. See the online article for the color version of this figure.

 $* p < .06.$  \* p < .05. \*\* p < .01 (significant differences between the monocular and dichoptic priming conditions)

was not significant,  $F(1, 29) = 0.19$ ,  $p = .670$ ,  $n_p^2 = .01$ , either. Nevertheless, with the a priori hypothesis that the fearful face presented to the temporal hemifield would facilitate the saccade response, we still performed simple effects analyses and indeed observed a faster saccade latency for LSF fearful faces presented to the temporal relative to nasal hemifield,  $M_{difference} = -16.1$ ,  $t(29) = -2.32$ ,  $p = .014$  onetailed, Cohen's  $d = 0.42$ . No significant difference in saccade latency was observed for HSF fearful faces presented in the temporal and nasal hemifields,  $M_{difference} = -10.6$ ,  $t(29) = -0.93$ ,  $p = .180$  onetailed, Cohen's  $d = 0.17$ . In contrast to the fearful face condition, no significant interaction or simple effects were observed to either LSF or HSF neutral faces ( $ps > .05$ ). Therefore, a temporal hemifield advantage was observed for LSF fear as revealed by faster saccade latency.

### Experiment 3b

The same analyses were performed as Experiment 3a. First, we calculated the percentage of correct saccade response in each condition.

A trend of above-chance level saccade accuracy was found only when the LSF (Figure 6C),  $M_{difference} = 5.37, t(29) = 1.98, p = .057,$ Cohen's  $d = 0.36$ , and HSF,  $M_{difference} = 5.87$ ,  $t(29) = 2.42$ ,  $p = .022$ , Cohen's  $d = 0.44$ , fearful faces were presented to the temporal hemifield. However, repeated measures ANOVA did not show a significant three-way interaction effect between 2 (hemifield: temporal and nasal) and 2 (SF: LSF and HSF) and 2 (emotion: fearful vs. neutral),  $F(1, 29) = 1.66$ ,  $p = .208$ ,  $n_p^2 = .05$ , or a significant two-way interaction effect between SF (LSF and HSF) and hemifield (temporal and nasal) on the saccade accuracy for either the fearful-,  $F(1, 29) =$ 0.92,  $p = .344$ ,  $\eta_p^2 = .03$ , or neutral-first,  $F(1, 29) = 1.42$ ,  $p = .244$ ,  $\eta_{\rm p}^2 = .05$ , condition. No significant nasal–temporal asymmetry effects  $(ps > .09)$  were observed, either. Therefore, no nasal–temporal asymmetry effects were observed in the saccade accuracy.

Next, we went on testing whether the fearful face presented to the temporal hemifield would facilitate the saccade response. We performed a 2 (hemifield: temporal and nasal)  $\times$  2 (SF: LSF and HSF)  $\times$  2 (emotion: fearful vs. neutral) repeated measures ANOVA on the

<span id="page-8-0"></span>Figure 6

saccade latency. The three-way interaction effect was not significant ([Figure 6D\)](#page-8-0),  $F(1, 29) = 0.07$ ,  $p = .786$ ,  $\eta_p^2 = .003$ . Next, we performed a 2 (SF: LSF and HSF)  $\times$  2 (hemifield: temporal and nasal) repeated measures ANOVA on the saccade latency to the fearful-first condition. We observed a marginally significant interaction effect,  $F(1, 29) = 3.96, p = .056, \eta_p^2 = .12.$  Consistent with our a priori hypothesis, simple effects analyses showed a faster saccade latency for LSF fearful faces presented to the temporal relative to nasal hemifield,  $M_{difference} = -18.01$ ,  $t(29) = -2.71$ ,  $p = .006$  one-tailed, Cohen's  $d = 0.49$ . No significant difference in saccade latency was observed for HSF fearful faces presented in the temporal and nasal hemifields,  $M_{difference} = -3.08$ ,  $t(29) = -0.53$ ,  $p = .299$  one-tailed, Cohen's  $d = 0.10$ . In contrast to the fearful face condition, no significant interaction or simple effects were observed to either LSF or HSF neutral faces ( $ps > .05$ ). Therefore, a temporal hemifield advantage was observed specifically for LSF fear ([Figure 6\)](#page-8-0).

### Discussion

The present study tested the subcortical pathway hypothesis for fear processing. We speculated that if fear-related information is transmitted to the amygdala via subcortical structures, the perception of fearful faces would exhibit characteristics indicative of subcortical functions. Across five experiments, we observed that the detection of fearful faces displayed features aligning with the characteristics of subcortical structures implicated in the subcortical pathway hypothesis. Specifically, the perception of fearful faces demonstrated a same-eye advantage (Experiment 1), suggesting processing by monocular neurons. Priming with short-wavelength gratings abolished the same-eye advantage (Experiments 2a and 2b), consistent with the known insensitivity of the SC to short-wavelength light. Furthermore, a nasal–temporal asymmetry manifested in the saccadic response to fearful faces (Experiments 3a and 3b), mirroring the nasal–temporal anatomical asymmetry of fibers projecting to the SC. Finally, these observed features were selectively present for LSF but not HSF fearful faces (Experiments 1–3), as predicted by magnocellular inputs to the amygdala. Taken together, the confluence of these four features point to information transmission via the subcortex, the SC in particular, thereby supporting the existence of a subcortical pathway in fear processing.

Our experiments provide four lines of evidence for the involvement of subcortical brain structures in fearful face processing. First, all three experiments, especially Experiments 1 and 3, showed selectivity for LSF information during fearful rather than neutral face processing. Because the subcortical pathway does not receive parvocellular inputs, it is not tuned to HSF information [\(Méndez-Bértolo et](#page-12-0) [al., 2016](#page-12-0); [Vuilleumier et al., 2003\)](#page-12-0). The LSF selectivity is therefore consistent with the subcortical pathway model. Second, Experiments 1 and 2 revealed a same-eye advantage for LSF fearful face processing. Specifically, when a fearful face was primed by another fearful face (Experiment 1) or an LSF grating (Experiments 2a and 2b) presented to the same eye, the recognition accuracy was significantly increased ( $ps < .01$ ) relative to when the priming stimulus and probe face were presented to different eyes. This same-eye advantage suggests that fearful face processing recruits monocular neurons located mainly in subcortical regions. Thus, the monocular advantage suggests a subcortical origin of fearful face processing [\(Gabay, Burlingham, & Behrmann, 2014;](#page-11-0) [Gabay,](#page-11-0) [Nestor, et al., 2014\)](#page-11-0). Notably, because the procedures of the same-

and different-eye conditions were strictly matched, and participants were unaware of the eye source for each face image, the same-eye effect cannot be attributed to top-down expectations or differential short-term memory strengths for the priming faces. Third, Experiment 2 further showed a selective sensitivity of fearful faces to the LSF priming grating defined by achromatic information. In contrast, the LSF grating defined by short-wavelength information had no same-eye priming effect on fearful face perception. This result suggests that the same-eye priming effect might be restricted to neurons sensitive to medium- and long-wavelength lights, which is a property of the SC rather than the LGN or pulvinar in the subcortex ([Bertini et al., 2008;](#page-11-0) [Marzi et al., 2009;](#page-12-0) [Savazzi & Marzi, 2004;](#page-12-0) [Sumner et al., 2002;](#page-12-0) [Tamietto et al., 2009](#page-12-0)). Finally, Experiment 3, which focused on the reliance of fearful face processing on the SC, took a different perspective by examining the nasal–temporal asymmetry, a property of the SC due to the anatomical asymmetry of fibers projecting from the hemiretinae to the SC [\(Perry & Cowey, 1985;](#page-12-0) [Pollack & Hickey, 1979\)](#page-12-0), in fearful face detection. A temporal hemifield advantage was demonstrated with shortened saccadic response latency. Combination of the results from Experiment 2 and Experiment 3 strengthened the argument for the involvement of SC in fear processing. Collectively, these lines of evidence suggest that fearful face processing exhibits rich properties of subcortical functions that are consistent with the subcortical pathway hypothesis.

Notably, the findings in the present study alone provide only indirect evidence for the subcortical pathway hypothesis. In particular, the four lines of evidence for the involvement of the subcortex in fearful face processing could not rule out the cortical pathway hypothesis. It is still possible that the LSF fear information was transmitted to the amygdala via the cortical visual pathway, after having been transmitted through the subcortical areas. However, this possibility can be ruled out by taking into account the findings of rapid [\(Bannerman et al., 2010](#page-11-0); [Méndez-Bértolo et al., 2016;](#page-12-0) [Wang et al., 2023\)](#page-12-0) and preconscious [\(Gomes et al., 2018](#page-11-0); [Morris](#page-12-0) [et al., 1998,](#page-12-0) [1999;](#page-12-0) [Wang et al., 2023](#page-12-0); [Whalen et al., 1998](#page-13-0)) processing of fearful faces in the amygdala, which were unlikely to be realized by the relatively slow transmission of information via the canonical cortical pathway. In fact, this example is a good reflection of the current dilemma that, due to the methodological limitations of recording subcortical neural responses, any single piece of evidence is insufficient. Nevertheless, when the evidence from different sources is taken together, there is strong support for the subcortical pathway hypothesis.

In recent years, a multiple-wave model has been raised to explain the rapid, preattentive, and preconscious processing of threat information in the human amygdala. Based on the evidence of direct connections between multiple subcortical and cortical brain regions, this model suggests that there are shortcut connections in the corticocortical and subcortico-cortical pathways that may be used to transmit threat information to the amygdala [\(Pessoa & Adolphs, 2010\)](#page-12-0). For example, instead of utilizing the conventional visual pathway, a shortcut connection between the pulvinar and extrastriate visual cortex may be employed to transmit the threat information to the amygdala [\(McFadyen et al., 2017](#page-12-0), [2019](#page-12-0)). Because the shortcut pathways do not go through all the processing stages in the cortical visual pathway, they can achieve rapid and even preconscious processing. Unfortunately, this model is also in lack of direct evidence. The existence of an uncertain number of potential pathways makes the model difficult to verify. Our findings that fearful face processing exhibits properties of subcortical brain regions, the SC in particular, suggest that even if multiple-wave pathways underlie the transmission of threat information to the amygdala, the multiple-wave pathways still receive information from the subcortical pathway. Therefore, we suggest that our results are more consistent with the subcortical explanation than with the multiple-wave explanation. Overall, our finding of subcortical features in fear processing complements the existing evidence and strengthens the case for fear processing by the subcortical pathway.

Controversial evidence exists for the subcortical pathway hypothesis. For instance, regarding the reflection of subcortical characteristics, previous studies have shown that the amygdala relies equally on the LSF and HSF information in emotion processing, reflecting its dependence on multiple sources from retinal and cortical input ([McFadyen](#page-12-0) [et al., 2017;](#page-12-0) [Tamietto & Morrone, 2016\)](#page-12-0). Behaviorally, a preference for HSF information in fearful face recognition has been observed ([Smith & Schyns, 2009\)](#page-12-0), along with facilitated recognition of HSF fearful faces ([Stein et al., 2014\)](#page-12-0). However, without identifying the precise temporal information of these processes, it is always possible that the processing is influenced by the feedback signals from the cortical pathway. Therefore, a comprehensive investigation combining the recording of the temporal information and the examination of the subcortical involvement is needed. Notably, studies such as [Méndez-Bértolo et al. \(2016\)](#page-12-0) and [Wang et al. \(2023\),](#page-12-0) utilizing direct intracranial EEG recordings from the human amygdala, have shown LSF specificity in rapid fear-selective responses, thereby excluding the contribution of cortical feedback information. Another plausible explanation of the controversial evidence posits that both the cortical and the subcortical pathways are involved in fearful emotion processing. Even with the subcortical pathway engaged, the cortical pathway may still play a critical role. As accumulating evidence underscores the existence of the subcortical pathway, understanding the interactions between the cortical and the subcortical pathways emerges as a pivotal research focus.

Experiment 2 indicated the involvement of the SC in fear processing, yet it could not conclusively rule out the contribution of earlier substrates in the visual pathway, such as the retina. Consequently, Experiment 3 further investigated the SC's involvement using a different paradigm and a different property. Specifically, Experiment 3 employed the nasal–temporal asymmetry to as a marker for SC functions, as demonstrated by a preference for saccadic orientation toward the temporal hemifield ([Bertini et al., 2008;](#page-11-0) [Dodds et al.,](#page-11-0) [2002;](#page-11-0) [Koller et al., 2019](#page-11-0); [Sumner et al., 2002](#page-12-0); [Teller et al., 1993;](#page-12-0) [Tomalski & Johnson, 2012\)](#page-12-0) and heightened SC activity in response to visual stimuli in the temporal hemifield [\(Sylvester et al., 2007\)](#page-12-0). However, conflicting evidence exists, with studies reporting a lack of nasal–temporal asymmetry ([Honda, 2002;](#page-11-0) [Walker et al., 2000\)](#page-12-0). Additionally, [Bompas et al. \(2008\)](#page-11-0) demonstrated a nasal–temporal asymmetry for S-cone stimuli in humans, suggesting that this nasal– temporal asymmetry is not solely dependent on the SC. Given these contradictory findings, Experiment 3 incorporated special manipulations to increase the involvement of the SC. First, considering that the SC plays a major role in oculomotor behavior, we measured participants' saccadic orienting response rather than the manual key response to the first presented face. It has been shown that the SC is selectively activated by visually guided reaching behavior ([Himmelbach et al., 2013](#page-11-0); [Stuphorn et al., 2000](#page-12-0); [Werner et al.,](#page-13-0) [1997](#page-13-0)) and that saccades rely more on the magnocellular pathway than manual responses [\(Bompas & Sumner, 2009;](#page-11-0) [Zhu et al.,](#page-13-0) [2021](#page-13-0)). Second, given that the subcortical pathway is suggested to serve automatic fear processing, it can be recruited automatically without the need of explicit knowledge ([Gomes et al., 2018;](#page-11-0) [Morris et al., 1998](#page-12-0), [1999;](#page-12-0) [Wang et al., 2023;](#page-12-0) [Whalen et al.,](#page-13-0) [1998](#page-13-0)). For this goal, the interval between the two presentations were kept small in the two experiments and one third of the trials were simultaneous presentation trials that were blind to the participants. As revealed by the saccade accuracy data, participants were mostly unaware of which face was presented first at the conscious level. This manipulation ensured that participants' responses were stimulus-driven rather than the result of high-level decision making ([Bannerman et al., 2010](#page-11-0)). Nevertheless, comprehensive evidence from various perspectives is necessary to thoroughly investigate the association between nasal–temporal symmetry and the SC.

The potential involvement of subcortical structures in fear processing raises questions about the role of these structures in emotion processing. For example, the specificity of LSF processing may indicate sensitivity to coarse-scale information within the visual input, and the nasal–temporal asymmetry could suggest faster threat processing in the temporal hemifield. Nevertheless, fear processing showing characteristics of subcortical processing does not mean that these characteristics all have functional significance for fear processing in a natural environment. Realistically, individuals seldom experience dichoptic or monocular views of their surroundings. A subsequent question is the practical applications of subcortical fear processing. Given that threat-related information is a major source for affective disorders such as phobia, anxiety, and posttraumatic stress disorders ([Chavanne & Robinson, 2021;](#page-11-0) Garfi[nkel et al.,](#page-11-0) [2014\)](#page-11-0), direct or indirect modulations on these subcortical structures could be a plausible way for mitigating excessive affective responses in psychiatric conditions [\(Battaglia et al., 2018,](#page-11-0) [2023\)](#page-11-0).

In summary, the present study investigated the subcortical pathway hypothesis for threat processing by examining the involvement of subcortical structures during fearful face processing. By showing four distinctive functional features of subcortical brain regions, including LSF selectivity, same-eye advantage, S-cone insensitivity, and nasal–temporal asymmetry, we suggest that the fearful face information is likely to be mediated by the subcortical structures. Combined with the existing evidence of rapid, preattentive, and preconscious processing in the amygdala, the current evidence supports fear information transmission to the amygdala through a subcortical pathway in the human brain.

### Constraints on Generality

The present study suffers from some limitations which constrain the generality of the observed subcortical characteristics in emotion processing. One limitation is that it only examined emotion processing with face stimuli. To date, consistent evidence for rapid and subcortical fear processing has mainly been obtained with face stimuli (e.g., [Méndez-Bértolo et al., 2016](#page-12-0)). However, for a comprehensive understanding of adaptive functioning, it is imperative to extend investigations to other threatening stimuli, such as snakes and frightening scenes, which may exhibit similar characteristics ([Carretié et](#page-11-0) [al., 2022](#page-11-0); [Öhman & Mineka, 2001](#page-12-0)). Moreover, our exploration was confined to the processing of fearful emotion. While previous evidence has refuted subcortical processing of happy emotion (e.g., [Méndez-Bértolo et al., 2016](#page-12-0); [Morris et al., 2001\)](#page-12-0), limited attention has been devoted to exploring other emotional dimensions, such <span id="page-11-0"></span>as valence, arousal, and dominance. A thorough investigation involving diverse stimuli and measurement approaches is essential to decipher the driving factors behind the observed effects. Without answering the above questions, it is still immature to conclude the function and mechanism of the subcortical pathway. Furthermore, from an ecological perspective, the subcortical processing hypothesis emphasizes speed over accuracy. However, in the present study, subcortical characteristics were primarily reflected in recognition accuracy (Experiments 1 and 2). Although it is anticipated that the subcortical characteristics should manifest in recognition speed, future studies are required to investigate this hypothesis. Finally, it remains elusive regarding the influence of cultural factors on subcortical fear processing. Despite the subcortical pathway hypothesis emphasizing the processing of coarse, LSF information ([LeDoux, 1996](#page-12-0); [Vuilleumier et al., 2003](#page-12-0)), it does not dismiss cultural influences, as the influence of cultural factors on face and emotion recognition has been demonstrated across different information scales (Chen et al., 2018; Jack et al., 2009, 2012). By comparing recognition outcomes using faces from the Chinese (e.g., Experiment 2b) and Western (e.g., Experiment 2a) cultures, we observed heightened recognition accuracies for fearful faces ( $ps < .02$ ) and a more pronounced facilitation in LSF fear recognition (see [Figure 4B\)](#page-6-0). Notably, the same-eye advantage in the luminance-defined priming condition persisted in both experiments, suggesting that subcortical processing may be, at least in part, independent of cultural influences. However, a nuanced investigation into the specific impact of cultural factors on subcortical processing of threat information is essential, necessitating future research endeavors.

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